

**Specification**

A Mobile Communication System and an Inter-frequency HO Method, Mobile Station, Base Transceiver Station, Radio Network Controller, and Program for the Mobile Communication System

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**Technical Field**

The present invention relates to a mobile communication system and to an inter-frequency HO method, a mobile station, a base transceiver station, radio network controller, and a program for the mobile communication system, and more particularly to an inter-frequency HO (Hand Over) method in a CDMA (Code Division Multiple Access) mobile communication system.

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**Background Art**

Explanation first regards the procedure for inter-frequency HHO (Hard Hand Over) in a W-CDMA (Wideband-Code Division Multiple Access) mobile communication system. FIGs. 1A-1C are views for explaining inter-frequency HHO, and FIG. 2 is a timing chart for explaining the operations of inter-frequency HHO. The W-CDMA mobile communication system is a third-generation mobile communication system that is discussed in the 3GPP (3<sup>rd</sup> Generation Partnership Project).

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Normally, a base transceiver station (BTS) has a plurality of frequencies and uses one of these frequencies to communicate with a mobile station (MS). However, as shown in FIG. 1A, when MS 3 that is communicating at frequency f1 in cell 10, which is the communication area of BTS 1, moves to cell 20, which is the communication area of BTS 2 that has only frequency f2, MS 3 must change from frequency f1 to frequency f2. This operation is called an "inter-frequency HHO (different frequency HHO)."

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Methods of changing from frequency  $f_1$  to frequency  $f_2$  include: a method of changing from frequency  $f_1$  of BTS 1 to frequency  $f_2$  of BTS 1 within the communication area of BTS 1 (see FIG. 1B), and a method of changing from frequency  $f_1$  of BTS 1 to frequency  $f_2$  of BTS 2 in the area in which the communication area of BTS 1 and the communication area of BTS 2 overlap (see FIG. 1C). However, either method may be employed.

Normally, MS 3 has no more than one local oscillator, and MS 3 is therefore not able to receive the downlink signal that is being transmitted from the HHO destination BTS at HHO destination frequency  $f_2$  while communicating at the HHO origin frequency  $f_1$ . However, MS 3 enters a mode for implementing intermittent communication referred to as "compressed mode" at the time of inter-frequency HHO.

As shown in FIG. 2, compressed mode is a mode for enabling the measurement of a cell of a different frequency when performing inter-frequency Hand Over, and is a mode of intermittent communication having gaps, these gaps being time intervals in which communication is not performed.

Thus, compressed mode is a mode of intermittent communication that includes time intervals (gaps) in which BTS 1 does not transmit data to MS 3, but even during normal communication between BTS 1 and MS 3, intermittent communication is performed in which data transmission from BTS 1 to MS 3 is halted in time intervals in which there are no data to be transmitted to MS 3. In normal communication, however, the position and length of intervals in which transmission from BTS 1 to MS 3 is halted depend on the behavior of data that are transmitted from BTS 1 to MS 3, and the positions and lengths of these intervals have no regularity. In compressed mode, however, data transmission from BTS 1 to MS 3 is halted according to set rules in accordance with a predetermined pattern (CM pattern) regardless of the data that are being

transmitted. In other words, the length and position of gaps that occur during compressed mode are regular and follow a predetermined pattern.

Details regarding compressed mode are described in 3GPP standards "TS25.212 v3.5.04.4: Compressed Mode" and "TS25.215 v3.5.06.1.1:

5 Compressed Mode" (refer to Japanese Patent Laid-Open Publication No. 2001-224053, p. 4, FIGs. 1–2).

As shown in FIG. 2, HHO destination BTS 2 constantly transmits a common pilot signal of the CPICH (Common Pilot Channel) on all frequencies, this common pilot signal being a reference signal. At the time of inter-frequency  
10 HHO, MS 3 switches frequencies from HHO origin frequency  $f_1$  to HHO destination frequency  $f_2$  in the gaps in compressed mode to receive the common pilot signal from HHO destination BTS 2. By monitoring the common pilot signal from HHO destination BTS, MS 3 confirms that despite shifting to HHO destination frequency  $f_2$ , the same reception quality will be obtained as  
15 before shifting, i.e., that power is being supplied that can obtain this reception quality; and further confirms the reception timing of the downlink signal of HHO destination frequency  $f_2$ . In FIG. 2, the HHO origin BTS is BTS 1, and the HHO destination BTS is BTS 2, but the HHO origin BTS and the HHO destination BTS may also be the same BTS.

20 In this way, MS 3 uses the gaps in compressed mode to receive a portion of the common pilot signal that is transmitted from HHO destination BTS 2 by HHO destination frequency  $f_2$ . Accordingly, regarding a downlink, MS 3 can immediately receive a signal of suitable reception quality from BTS 2 after completion of inter-frequency HHO.

25 Regarding an uplink, however, HHO destination BTS 2 lacks any arrangement for monitoring the signal of HHO destination frequency  $f_2$  from MS 3 at the time of inter-frequency HHO, and as a result, the initial uplink

transmission power after completing inter-frequency HHO does not guarantee suitable reception quality, and further, BTS 2 will not have acquired the reception timing of the uplink signal from MS 3.

Accordingly, BTS 2 is unable to receive an uplink signal from MS 3  
5 during the interval from the completion of inter-frequency HHO until BTS 2 detects the reception timing of the uplink signal that is transmitted from MS 3 (interval T shown in FIG. 2). In addition, the uplink signal from MS 3 is not received by BTS 2 in interval T, and as a result, the transmission power control of the uplink between BTS 2 and MS 3 will not be carried out normally and  
10 there is consequently a potential for deterioration of reception characteristics and increase in interference.

In addition, when MS 3 performs inter-frequency HHO in the area in which the communication area of HHO origin BTS 1 and the communication area of HHO destination BTS 2 overlap as shown in FIG. 1C, MS 3 is at a great  
15 distance from both of BTS 1 and BTS 2 and the downlink reception sensitivity from the standpoint of MS 3 therefore becomes poor. To compensate for this deterioration in sensitivity, each BTS must increase the downlink transmission power to MS 3, but this increase in the downlink transmission power increases downlink interference for other MS.

20 When shifting from the HO origin BTS to the HO destination BTS without changing frequencies, such as in Hand Over between BTS of the same frequency (DHO: Diversity HO) or Hand Over between sectors (Softer HO), the MS, by simultaneously receiving the same data from these BTS, can both obtain the diversity gain and perform Hand Over without hits.

25 In inter-frequency HHO, however, MS 3 cannot simultaneously receive the downlink signal from HO origin BTS 1 and the downlink signal from HO destination BTS 2, and as a result, cannot obtain the diversity gain, and further,

will encounter difficulties in carrying out Hand Over without hits.

### **Disclosure of the Invention**

5 It is an object of the present invention to provide a mobile communication system that can smoothly and stably perform inter-frequency HO, and further, to provide an inter-frequency HO method, a mobile station, a base transceiver station, a radio network controller, and a program for such a mobile communication system.

10 The mobile communication system according to the present invention is a mobile communication system that includes a mobile station and a mobile communication network to which this mobile station can connect by radio-waves, and that includes compressed mode, which is a mode of intermittent communication having gaps in which communication is not carried out in mobile communication between the mobile station and the mobile communication  
15 network; the mobile communication network including transmission means for, at the time of inter-frequency HO (Hand Over), using the gaps to transmit to the mobile station by the HO destination frequency, data that are identical to data that are transmitted from the mobile communication network to the mobile station by the HO origin frequency.

20 In the mobile communication system, moreover, the mobile station includes transmission means for, at the time of inter-frequency HO, using the gaps to transmit, by the HO destination frequency to the mobile communication network, data that are identical to data that are transmitted from the mobile station to the mobile communication network by the HO origin frequency.

25 The inter-frequency HO method according to the present invention is an inter-frequency HO (Hand Over) method of a mobile communication system that includes a mobile station and a mobile communication network to which

this mobile station can connect by radio-waves and that includes a compressed mode, which is a mode of intermittent communication having gaps in which communication is not carried out in mobile communication between the mobile station and the mobile communication network; the inter-frequency HO method including a step in which the mobile communication network, at the time of inter-frequency HO, uses the gaps to transmit to the mobile station by the HO destination frequency, data that are identical to data that are transmitted from the mobile communication network to the mobile station by the HO origin frequency.

10 In addition, the inter-frequency HO method includes a step in which the mobile station, at the time of an inter-frequency HO, uses the gaps to transmit, to the mobile communication network by the HO destination frequency, data that are identical to data that are transmitted by the HO origin frequency from the mobile station to the mobile communication network.

15 A mobile station according to the present invention is a mobile station that includes a compressed mode, which is a mode of intermittent communication having gaps in which communication is not carried out in mobile communication between the mobile station and mobile communication network, the mobile station including a transmission means for, at the time of an inter-frequency HO (Hand Over), using the gaps to transmit, to the mobile communication network by the HO destination frequency, data that are identical to data that are transmitted by the HO origin frequency from the mobile station to the mobile communication network.

25 A program according to the present invention is a program for causing a computer to execute the operations of a mobile station having a compressed mode, which is a mode of intermittent communication having gaps in which communication is not carried out in mobile communication between a mobile

station and a mobile communication network, the program including a transmission step for, at the time of a inter-frequency HO (Hand Over), using the gaps to transmit, to the mobile communication network by the HO destination frequency, data that are identical to data that are transmitted from the mobile station to the mobile communication network by the HO origin frequency.

A base transceiver station according to the present invention is a base transceiver station that includes a compressed mode, which is a mode of intermittent communication having gaps in which communication is not carried out in mobile communication between a mobile station and a base transceiver station; the base transceiver station including a transmission means for, at the time of an inter-frequency HO (Hand Over), using the gaps to transmit, to the mobile station by the HO destination frequency, data that are identical to data that are transmitted by the HO origin frequency from the HO origin base transceiver station to the mobile station.

A program according to the present invention is a program for causing a computer to execute operations of a base transceiver station that includes a compressed mode, which is a mode of intermittent communication having gaps in which communication is not carried out in mobile communication between a mobile station and a base transceiver station; the program including a transmission step for, at the time of an inter-frequency HO (Hand Over), using the gaps to transmit, to the mobile station by the HO destination frequency, data that are identical to data that are transmitted from the HO origin base transceiver station to the mobile station by the HO origin frequency.

A radio network controller according to the present invention is a radio network controller in a mobile communication system that includes a compressed mode, which is a mode of intermittent communication having gaps

in which communication is not carried out in mobile communication between a mobile station and a mobile communication network; the radio network controller including a selective combining means for, at the time of an inter-frequency HO (Hand Over), receiving mutually identical data that are  
5 transmitted by using gaps from the mobile station by the HO origin frequency by way of the HO origin base transceiver station and by the HO destination frequency by way of the HO destination base transceiver station and then selectively combining the data.

A program according to the present invention is a program for causing a  
10 computer to execute the operations of a radio network controller in a mobile communication system that includes a compressed mode, which is a mode of intermittent communication having gaps in which communication is not carried out in mobile communication between a mobile station and a mobile communication network; the program including a selective combining step for,  
15 at the time of an inter-frequency HO (Hand Over), receiving mutually identical data that are transmitted by using gaps from the mobile station by the HO origin frequency by way of HO origin base transceiver station and by the HO destination frequency by way of the HO destination base transceiver station and selectively combining the data.

20 Thus, in the present invention, the gaps in compressed mode are used during inter-frequency HO to alternately perform both communication between a mobile station and the HO origin base transceiver station that uses the HO origin frequency and communication between the mobile station and the HO destination base transceiver station that uses the HO destination frequency, the  
25 data that are transmitted and received using the HO origin frequency and the HO destination frequency being identical.

The effect obtained by the present invention is the ability to perform



inter-frequency HO (Hand Over) smoothly and stably. This effect can be obtained because the HO destination base transceiver station transmits in gaps to the mobile station by the HO destination frequency data that are identical to data that the HO origin base transceiver station transmits to the mobile station by the HO origin frequency; and in addition, the mobile station switches frequencies from the HO origin frequency to the HO destination frequency in gaps, whereby the mobile station transmits in gaps to the HO destination base transceiver station data that are identical to data that are transmitted to the HO origin base transceiver station by the HO origin frequency.

#### **Brief Description of the Drawings**

FIGs. 1A to 1C are views for explaining inter-frequency HHO.

FIG. 2 is a timing chart for explaining the operations for inter-frequency HHO.

FIG. 3 shows the configuration of the mobile communication system according to an embodiment of the present invention.

FIG. 4 shows the configuration of the BTS shown in FIG. 3.

FIG. 5 shows the configuration of the MS shown in FIG. 3.

FIG. 6 shows the configuration of the RNC shown in FIG. 3.

FIG. 7 is a timing chart showing the operations of the mobile communication system according to an embodiment of the present invention.

FIG. 8 is a timing chart showing the operations of the mobile communication system according to an embodiment of the present invention.

FIG. 9 is a timing chart showing the operations of the mobile communication system according to an embodiment of the present invention.

FIG. 10 shows an example of the characteristics of function  $f[x]$ .

FIG. 11 is a flow chart showing the operations of the mobile

communication system according to an embodiment of the present invention.

FIG. 12 is a flow chart showing the operations of the mobile communication system according to an embodiment of the present invention.

FIG. 13 is a flow chart showing the operations of the mobile communication system according to an embodiment of the present invention.

FIG. 14 is a flow chart showing the operations of the mobile communication system according to an embodiment of the present invention.

FIG. 15 is a flow chart showing the operations of the mobile communication system according to an embodiment of the present invention.

FIG. 16 shows an example of the changes of the target SIR (SIR (hho-bts 1) and SIR (hho-bts 2)) according to the flow charts shown in FIGs. 13-15.

### **Best Mode for Carrying Out the Invention**

Explanation next regards embodiments of the present invention with reference to the accompanying figures. FIG. 3 shows the configuration of a W-CDMA (Wideband-Code Division Multiple Access) mobile communication system according to an embodiment of the present invention. As shown in FIG. 3, the mobile communication system according to an embodiment of the present invention is made up from: base transceiver stations (BTS) 1 and 2, mobile station (MS) 3, and Radio Network Controller (RNC) 4; RNC 4 being connected to the Core Network (CN).

FIG. 4 shows the configuration of BTS 1 shown in FIG. 3. As shown in FIG. 4, BTS 1 is made up from: receiver 11, search/decoding unit 12, uplink signal monitor unit 13, HHO controller 14, local oscillator (LO) 15, and transmitter 16. The configuration of BTS 2 is identical to the configuration of BTS 1 that is shown in FIG. 4.

FIG. 5 shows the configuration of MS 3 that is shown in FIG. 3. As

shown in FIG. 5, MS 3 is made up from: receiver 21, search/decoding unit 22, downlink signal monitor unit 23, HHO controller 24, LO 25, and transmitter 26.

FIG. 6 shows the configuration of RNC 4 that is shown in FIG. 3. As shown in FIG. 6, RNC 4 is made up from: selective combining unit 31, controller 32, and I/F (interface) 33 and 34.

FIGs. 7–9 are timing charts showing the operations of the mobile communication system according to the embodiment of the present invention, and FIGs. 10–14 are flow charts showing the operations of the mobile communication system according to the embodiment of the present invention.

Explanation next regards the mobile communication system according to the embodiment of the present invention with reference to these FIGs. 3–14.

In FIG. 3, at the time of inter-frequency HHO (Hard Hand Over), MS 3 switches the frequency from HHO origin frequency  $f_1$  to HHO destination frequency  $f_2$  in gaps in the compressed mode, and monitors the common pilot signal that is transmitted from HHO destination BTS 2. This monitoring of the downlink signal from HHO destination BTS 2 has already been explained using FIG. 2, and further explanation is therefore here omitted.

In the present embodiment, when monitoring of the common pilot signal from HHO destination BTS 2 is completed, MS 3 uses HHO origin frequency  $f_1$  to report this completion to RNC 4 by way of HHO origin BTS 1. In response to this notification, RNC 4 reports the new compressed mode pattern to BTS 1, BTS 2, and MS 3. MS 3 receives this new pattern from RNC 4 by way of HHO origin BTS 1.

MS 3 and HHO destination BTS 2 then use HHO destination frequency  $f_2$  to perform communication between MS 3 and HHO destination BTS 2 in the gap intervals of the reported pattern.

Communication between MS 3 and HHO origin BTS 1 that employs HHO

origin frequency  $f_1$  and communication between MS 3 and HHO destination BTS 2 that employs HHO destination frequency  $f_2$  are carried out alternately using these gaps, and the data that are transmitted and received using these frequencies  $f_1$  and  $f_2$  are the same.

5           In other words, in the gaps of the reported pattern, HHO destination BTS 2 transmits, to MS 3 by HHO destination frequency  $f_2$ , data that are identical to data that are transmitted to MS 3 from HHO origin BTS 1 by HHO origin frequency  $f_1$ . In addition, in the gaps of the reported pattern, MS 3 switches frequencies from HHO origin frequency  $f_1$  to HHO destination frequency  $f_2$ , and  
10   MS 3 transmits to HHO destination BTS 2 data that are identical to data that are transmitted to HHO origin BTS 1 from MS 3 by HHO origin frequency  $f_1$ .

When transmitting data to HHO destination BTS 2 in gaps in the reported pattern, MS 3 further, in addition to the transmission of these data, uses frequency  $f_2$  to transmit the pilot signal to HHO destination BTS 2. The data are  
15   transmitted using the DPDCH (Dedicated Physical Data Channel) of a DPCH (Dedicated Physical Channel), and the pilot signal is transmitted using the DPCCH (Dedicated Physical Control Channel) of the DPCH.

HHO destination BTS 2 is thus able to use the gaps to monitor the pilot signal from MS 3 by means of uplink signal monitor unit 13. Similar to the  
20   monitoring of the downlink signal by MS 3, monitoring of the pilot signal from MS 3 allows HHO destination BTS 2 to both confirm whether the transmission power of the uplink signal of HHO destination frequency  $f_2$  from MS 3 is suitable or not, and allows HHO destination BTS 2 to check the reception timing of the HHO destination frequency  $f_2$  uplink signal from MS 3.

25           In the foregoing explanation, the HHO origin BTS is BTS 1 and the HHO destination BTS is BTS 2, but the HHO origin BTS and HHO destination BTS may also be the same BTS.

FIGs. 7–9 show the state of the transmission and reception between MS 3 and the HHO destination BTS of data that are identical to data that are transmitted and received between MS 3 and the HHO origin BTS, this transmission and reception being realized by MS 3 switching the frequency to HHO destination frequency  $f_2$  at the positions of the gaps in the new compressed mode. As shown in FIGs. 7–9, in the new compressed mode following completion of monitoring of the pilot signal from the HHO destination BTS, approximately one half of each frame is secured as a gap interval.

FIG. 7 shows the downlink reception operations. As shown in FIG. 7, by switching between HHO origin frequency  $f_1$  and HHO destination frequency  $f_2$  in accordance with the reported new compressed mode pattern, MS 3 receives data  $D1$ – $D6$  that are transmitted from HHO origin BTS 1 to MS 3 by HHO origin frequency  $f_1$  in accordance with the reported new compressed mode pattern (CM pattern) and data  $D1'$ – $D6'$  that are transmitted from HHO destination BTS 2 to MS 3 by HHO destination frequency  $f_2$  in accordance with the reported new compressed mode pattern. MS 3 then combines (for example, by maximal ratio combining) the mutually identical data that have been received.

Data  $D1$  are identical to data  $D1'$ , data  $D2$  are identical to data  $D2'$ , data  $D3$  are identical to data  $D3'$ , data  $D4$  are identical to data  $D4'$ , data  $D5$  are identical to data  $D5'$ , and data  $D6$  are identical to data  $D6'$ .

In FIG. 7, the HHO origin BTS is BTS 1 and the HHO destination BTS is BTS 2, but the HHO origin BTS and the HHO destination BTS may also be the same BTS.

FIG. 8 shows the uplink reception operations of BTS 1 when BTS 1 is both the HHO origin BTS and the HHO destination BTS as well. As shown in FIG. 8, BTS 1 receives data  $D11$ – $D16$  that are transmitted from MS 3 by HHO origin frequency  $f_1$  in accordance with the reported new compressed mode

pattern and data D11'–D16' that are transmitted from MS 3 by HHO destination frequency  $f_2$  in accordance with the reported new compressed mode pattern. BTS 1 then combines the received data that are mutually identical (for example, by maximal ratio combining).

5           Data D11 are identical to data D11', data D12 are identical to data D12', data D13 are identical to data D13', data D14 are identical to data D14', data D15 are identical to data D15', and data D16 are identical to data D16'.

          In FIGs. 7 and 8, when MS 3 receives data D1 from BTS 1, the frequency of MS 3 is frequency  $f_1$ , and at this time, MS 3 transmits data D11 to  
10   BTS 1. Data D1 are not identical to data D11. In addition, when MS 3 receives data D1' from the HHO destination BTS, the frequency of MS 3 is frequency  $f_2$ , and at this time, MS 3 transmits data D11' to the HHO destination BTS. In addition, data D1' are not identical to data D11'.

          FIG. 9 shows the uplink reception operations of each BTS when the  
15   HHO origin BTS is BTS 1 and the HHO destination BTS is BTS 2. As shown in FIG. 9, BTS 1 receives data D21–D26 that are transmitted by HHO origin frequency  $f_1$  from MS 3 in accordance with the reported new compressed mode pattern, and BTS 2 receives data D21'–D26' that are transmitted from MS 3 by HHO destination frequency  $f_2$  in accordance with the reported new compressed  
20   mode pattern. BTS 1 and BTS 2 then transmit the received data to RNC 4. RNC 4 selectively combines the received data from BTS 1 and the received data from BTS 2.

          Data D21 are identical to data D21', data D22 are identical to data D22', data D23 are identical to data D23', data D24 are identical to data D24', data  
25   D25 are identical to data D25', and data D26 are identical to data D26'.

          In FIGs. 7 and 9, when MS 3 receives data D1 from BTS 1, the frequency of MS 3 is frequency  $f_1$ , and at this time, MS 3 transmits data D21 to

BTS 1. Data D1 are not identical to data D21. In addition, when MS 3 receives data D1' from BTS 2, the frequency of MS 3 is frequency f2, and at this time, MS 3 transmits data D21' to BTS 2. Data D1' are not identical to data D21'.

Thus, in the present embodiment, at the time of an inter-frequency HHO, MS 3  
5 uses gaps to transmit to the HHO destination BTS data that are identical to data that are transmitted from MS 3 to the HHO origin BTS, and the HHO destination BTS uses gaps to transmit to MS 3 data that are identical to data that are transmitted from the HHO origin BTS to MS 3. Accordingly, inter-frequency HHO can be realized with no hits, as in HO between same-frequency BTS  
10 (DHO: Diversity HO) or inter-sector HO (Softer HO).

In the present embodiment, moreover, the target SIR (Signal-to-Interference Ratio) that is used in transmission power control (TPC) of the downlink between MS 3 and the HHO origin BTS and the downlink between MS 3 and the HHO destination BTS and the target SIR that is used in TPC of the  
15 uplink between MS 3 and the HHO origin BTS and the uplink between MS 3 and the HHO destination BTS are variably controlled based on the procedures described below.

[1] The following variables are defined for the variable control of the target SIR that is used in the TPC of the downlink between MS 3 and HHO  
20 origin BTS 1 and the downlink between MS 3 and HHO destination BTS 2 (all values are true values and not dB):

- SIR (ms): The target SIR of MS 3 that is designated from RNC 4.
- SIR (dv\_ms): The reception SIR that is calculated based on a one-frame portion of combined data in MS 3.
- 25 • Gain (ms): The diversity gain of MS 3.
- SIR (hho\_ms): The target SIR of MS 3 that takes diversity gain into consideration.

Downlink TPC in inter-frequency HHO is carried out by using SIR (hho\_ms), and the method of calculating SIR (hho\_ms) is as follows:

- (0) As the initial value of SIR (hho\_ms), the value of SIR (hho\_ms) is set to the same value as SIR (ms).
- 5 (1) The value of SIR (hho\_ms) is not changed until downlink synchronization is established between MS 3 and BTS 2, i.e., until the determination of CRC (Cyclic Redundancy Check) is possible in MS 3 for data that have been transmitted from BTS 2 using frequency f2.
- 10 After downlink synchronization has been established, SIR (hho\_ms) is changed according to the procedures beginning with (2).
- (2) The TPC of the downlink between MS 3 and BTS 1 and downlink between MS 3 and BTS 2 is carried out according to SIR (hho\_ms) for each time slot.
- 15 (3) After one-frame portions of data have been received from each of BTS 1 and BTS 2, the identical data of each are combined (for example, by maximal ratio combining), and reception SIR (= SIR (dv\_ms)) is calculated based on the one-frame portions of the combined data (refer to FIG. 7).
- 20 (4)  $\text{Gain (ms)} = \text{SIR (dv\_ms)} - \text{SIR (ms)}$
- (5)  $\text{SIR (hho\_ms)} = \text{SIR (ms)} - \text{Gain (ms)} / 2$
- (6) Subsequently, the procedures of (2)–(5) are repeated for each frame until inter-frequency HHO is completed.

In the foregoing explanation, the HHO origin BTS was BTS 1 and the HHO destination BTS was BTS 2, but the HHO origin BTS and the HHO destination BTS may be the same BTS.

[2] When the target SIR that is used in the TPC of the uplink between



MS 3 and the HHO origin BTS and the uplink between MS 3 and the HHO destination BTS is variable controlled, the method of implementing variable control over this target SIR differs for cases in which the HHO origin BTS and the HHO destination BTS are the same and cases in which the HHO origin BTS and the HHO destination BTS are different.

[2-1] The following variables are defined for a case in which BTS 1 is both the HHO origin BTS and the HHO destination BTS (In this case, "Uplink between MS 3 and the HHO origin BTS" is a link for the passage of data that are transmitted from MS 3 to BTS 1 using HO origin frequency f1, and "Uplink between MS 3 and the HHO destination BTS" is the link for the passage of data that are transmitted from MS 3 to BTS 1 using HHO destination frequency f2) (all values are true values and not dB):

- SIR (bts): The target SIR of BTS 1 that is designated from RNC 4.
- SIR (dv\_bts): The reception SIR that is calculated based on one-frame portions of combined data in BTS 1.
- Gain (bts): The diversity gain of BTS 1.
- SIR (hho\_bts): The target SIR of BTS 1 that takes the diversity gain into consideration.

The uplink TPC in inter-frequency HHO is carried out using SIR (hho\_bts), the method of calculating SIR (hho\_bts) being as follows:

- (0) As the initial value of SIR (hho\_bts), the value of SIR (hho\_bts) is set to the same value as SIR (bts).
- (1) The value of SIR (hho\_bts) is not changed until uplink synchronization is established between MS 3 and the HHO destination BTS, i.e., until the determination of CRC is possible in BTS 1 for data that have been transmitted from MS 3 using frequency f2.

After uplink synchronization has been established, SIR (hho\_bts) is changed according to the procedures beginning with (2).

(2) The TPC of uplink between MS 3 and the HHO origin BTS and uplink between MS 3 and the HHO destination BTS is carried out according to SIR (hho\_bts) for each time slot.

(3) After one-frame portions of data have been received from MS 3 using each of frequency f1 and frequency f2, identical data of each are combined (for example, by maximal ratio combining), and the reception SIR (= SIR (dv\_bts)) is calculated based on one-frame portions of combined data (refer to FIG. 8).

(4)  $\text{Gain (bts)} = \text{SIR (dv\_bts)} - \text{SIR (bts)}$

(5)  $\text{SIR (hho\_bts)} = \text{SIR (bts)} - \text{Gain (bts)} / 2$

(6) Subsequently, the procedures of (2)–(5) are repeated for each frame until inter-frequency HHO is completed.

[2-2] A case in which BTS 1 is the HHO origin BTS and BTS 2 is the HHO destination BTS differs from the above-described case of [2-1] and requires the control of RNC 4, which is the host device of BTS 1 and BTS 2.

The following variables are defined (all values are true values and not dB):

- SIR (bts): The target SIR of BTS 1 and BTS 2 that is designated from RNC 4.
- $\Delta$  (bts 1): The offset for SIR (bts) of BTS 1.
- $\Delta$  (bts 2): The offset for SIR (bts) of BTS 2.
- N: A constant for calculating n1 and n2, described below.
- N1: The number of times that data from BTS 1 have been selected within the past N selection unit intervals in the selective combining processing of RNC 4 (in the example shown in FIG. 7, one selection unit interval is 1 frame).

- $n1[i]$ : Indicates whether data from BTS 1 have been selected in the  $(N-i)^{th}$  selection unit interval within the past N selection unit intervals. "1" indicates that data were selected, and "0" indicates that data were not selected.  $N1 = \sum n1[i]$ .
- 5      •  $N2$ : The number of times data from BTS 2 have been selected within the past N selection unit intervals in the selective combining process of RNC 4.
- $n2[i]$ : Indicates whether data from BTS 2 have been selected in the  $(N-i)^{th}$  selection unit interval within the past N selection unit intervals. "1" indicates that data were selected, and "0" indicates that data were not selected.  $N2 = \sum n2[i]$ .
- 10      •  $f[x]$ : A function for calculating  $\Delta$  (bts 1) and  $\Delta$  (bts 2) from  $N1$  and  $N2$ . Basically, a monotone increase with respect to  $x$ .
- $SIR(hho\_bts\ 1)$ : The target SIR of BTS 1 that takes into consideration the selective combining in RNC 4.
- 15      •  $SIR(hho\_bts\ 2)$ : The target SIR of BTS 2 that takes into consideration the selective combining in RNC 4.
- $M1$ : A constant for expressing the length of an interval that reflects  $N1$  and  $N2$  that have been found in the target SIR (hereinbelow referred to as a "reflective interval"), the length of a reflective interval being  $M1$  selection unit intervals.  $M1$  is counted by  $cnt1$ .
- 20      •  $M2$ : A constant for expressing the length of an interval that does not reflect  $N1$  and  $N2$  that have been found in the target SIR (hereinbelow referred to as a "non-reflective interval"), the length of a non-reflective interval being  $M2$  selection unit intervals.  $M2$  is counted by  $cnt2$ .
- 25

One selection unit interval is an interval in which RNC 4 performs one selective combination, the data for one selection unit interval from BTS 1 and the data for this interval from BTS 2 being selectively combined by RNC 4. In the example shown in FIG. 9, one selection unit interval is one frame, but a selection unit interval is not limited to this form. For example, one selection unit interval may be two frames if the data are voice data, and one selection unit interval may be four frames if the data are packet data.

Uplink TPC in inter-frequency HHO is carried out using SIR (hho\_bts 1) and SIR (hho\_bts 2), and the method of calculating SIR (hho\_bts 1) and SIR

(hho\_bts 2) is as follows:

- (0) As the initial values of SIR (hho\_bts 1) and SIR (hho\_bts 2), these values are set to the same value as SIR (bts).
- (1) The values of SIR (hho\_bts 1) and SIR (hho\_bts 2) are not changed until the synchronization of the uplink between MS 3 and BTS 2 has been established, i.e., until CRC can be determined in BTS 2 for data that have been transmitted from MS 3 using frequency f2.

After synchronization of the uplink has been established, SIR (hho\_bts 1) and SIR (hho\_bts 2) are changed in accordance with the procedures of (2) and succeeding steps.

- (2) The TPC of the uplink between MS 3 and BTS 1 is carried out in accordance with SIR (hho\_bts 1) of each time slot. In addition, the TPC of the uplink between MS 3 and BTS 2 is carried out in accordance with SIR (hho\_bts 2) for each time slot.
- (3) RNC 4 performs selective combining for the data of one selection unit interval from each BTS (selects the data having the best reception quality) (See FIG. 9). The values n1 [i] and n2 [i] are used to record whether data from each BTS have been selected by this selective

combining.

- (4) RNC 4 calculates the number of times  $N1 (= \sum n1 [i])$  that data from BTS 1 have been selected and the number of times  $N2 (= \sum n2 [1])$  that data from BTS 2 have been selected within the past N selection unit intervals.
- (5) RNC 4 finds  $\Delta$  (bts 1) and  $\Delta$  (bts 2) from the following formulas and reports to BTS 1 and BTS3.

$$\Delta \text{ (bts 1)} = \text{SIR (bts)} * f [N1 - (N1 + N2) / 2]$$

$$\Delta \text{ (bts 2)} = \text{SIR (bts)} * f [N2 - (N1 + N2) / 2]$$

The function  $f [x]$  is assumed to be, for example, a function having a characteristic such as shown in FIG. 10. However, in reflective intervals, RNC 4 reports  $\Delta$  (bts 1) and  $\Delta$  (bts 2) that have been found by the above formulas to BTS 1 and BTS 2 without alteration; but reports the values of  $\Delta$  (bts 1) and  $\Delta$  (bts 2) as "0" in non-reflective intervals.

- (6) SIR (hho-bts 1), which is the target SIR that is used in the TPC for uplink between MS 3 and BTS 1, and SIR (hho-bts 2), which is the target SIR that is used in the TPC for uplink between MS 3 and BTS 2, are calculated by the following formulas:

$$\text{SIR (hho\_bts 1)} = \text{SIR (bts)} + \Delta \text{ (bts 1)}$$

$$\text{SIR (hho\_bts 2)} = \text{SIR (bts)} + \Delta \text{ (bts 2)}$$

- (7) The procedures of (2)–(6) are subsequently repeated for each selection unit interval until the inter-frequency HHO is completed.

Explanation next regards the details of the overall mobile communication

system operations according to an embodiment of the present invention with reference to the flow charts shown in FIGs. 11–15.

As shown in FIG. 11, MS 3 that performs inter-frequency HHO first receives in the gap intervals of compressed mode the common pilot signal, which is the  
5 reference signal that HHO destination BTS 2 constantly transmits on all frequencies, and downlink signal monitor unit 23 thereby obtains the reception timing at HHO destination frequency f2. This completes the monitoring of the downlink signal from BTS 2 (Step S2 of FIG. 11).

MS 3 next uses HHO origin frequency f1 to report completion of monitoring of  
10 the downlink signal to RNC 4 by way of HHO origin BTS 1 (Step S3 of FIG. 11). In response to this report, RNC 4 reports the new compressed mode pattern to BTS 1, BTS 2, and MS 3; reports SIR (ms) to MS 3 by way of BTS 1; and reports SIR (bts) to BTS 1 and BTS 2 (Step S4 in FIG. 11). In addition, the new compressed mode pattern that is reported from RNC 4 is arranged such that  
15 the time in which transmission and reception are carried out using frequency f1 and the time in which transmission and reception are carried out using frequency f2 do not overlap in time, as shown in FIGs. 7–9.

### **[1] Operations of MS 3**

MS 3 first initializes SIR (hho\_ms) (Step S5 of FIG. 11). MS 3 next  
20 transmits and receives data while switching between frequency f1 and frequency f2 in accordance with the new compressed mode pattern that has been reported from RNC 4 as shown in FIG. 7, and downlink TPC between MS 3 and BTS 1 and downlink TPC between MS 3 and BTS 2 are carried out for each time slot with SIR (hho\_ms) as the target SIR (Step S6 of FIG. 11).

25 In other words, MS 3 transmits TPC bits to BTS 1 based on SIR (hho\_ms) and the reception SIR of data from BTS 1, and further, transmits TPC bits to BTS 2 based on SIR (hho\_ms) and the reception SIR of data from BTS 2.

Each of BTS 1 and BTS 2 controls the transmission power of data that are transmitted to MS 3 in accordance with the TPC bits from MS 3.

MS 3, upon receiving one frame of data from each of BTS 1 and BTS 2 ("Yes" in Step S7 of FIG. 11), proceeds to the procedure for changing the value of SIR (hho\_ms). When synchronization has not been established for data from  
5      BTS 2, however, the value of SIR (hho\_ms) is not changed ("No" in Step S8 of FIG. 11).

If synchronization has been established for data from BTS 2 ("Yes" in Step S8 of FIG. 11), MS 3 combines data that are mutually identical that have  
10      been received using frequency f1 and frequency f2 in search/decoding unit 22 (for example, by maximal ratio combining), and, based on a one-frame portion of data that have been combined, calculates reception SIR (= SIR (dv\_ms)) (Step S9 of FIG. 11).

MS 3 next calculates Gain (ms), which is the difference between SIR (dv\_ms) and SIR (ms) (Step S10 of FIG. 11). MS 3 considers Gain (ms) to be  
15      diversity gain that is obtained by using frequency f1 and frequency f2 to receive data that are mutually identical, and accordingly updates the value of SIR (hho\_ms) (Step S11 of FIG. 11). MS 3 subsequently repeats the operations of Steps S6–S11 until inter-frequency HHO is completed (Step S12 of FIG. 11).

20      Thus, in the present embodiment, BTS 1 and BTS 2 use gaps to transmit mutually identical data at the time of inter-frequency HHO, and MS 3, while using the gaps to switch between frequency f1 and frequency f2, receives the mutually identical data from BTS 1 and BTS 2. Accordingly, diversity gain can be obtained in MS 3 and interference to other MS can thus be reduced.

25      In the foregoing explanation, the HHO origin BTS is BTS 1 and the HHO destination BTS is BTS 2, but the HHO origin BTS and HHO destination BTS may be the same BTS.

## **[2] Operations of Mobile Communication Network Composed of BTS 1, BTS 2, and RNC 4**

Mobile communication network operations differ for a case in which the HHO origin BTS and the HHO destination BTS are the same and a case in which the HHO origin BTS and the HHO destination BTS are different.

### **[2-1] When BTS 1 is both the HHO origin BTS and the HHO destination BTS (different-frequency HHO within a BTS)**

When the HHO origin BTS and the HHO destination BTS are BTS 1 ("Yes" in Step S13 of FIG. 12), BTS 1 initializes SIR (hho\_bts) (Step S14 of FIG. 12). As shown in FIG. 8, BTS 1 next uses frequency f1 and frequency f2 in accordance with the new compressed mode pattern that has been reported from RNC 4 to transmit and receive data, and each of uplink TPC between MS 3 and BTS 1 that uses frequency f1 and uplink TPC between MS 3 and BTS 1 that uses frequency f2 is carried out for each time slot using SIR (hho\_bts) as the target SIR (Step S15 of FIG. 12).

In other words, BTS 1 transmits to MS 3 TPC bits that are based on SIR (hho\_bts) and reception SIR of data that are transmitted from MS 3 using frequency f1, and in addition, transmits to MS 3 TPC bits that are based on SIR (hho\_bts) and reception SIR of data that have been transmitted from MS 3 using frequency f2. MS 3 controls the transmission power of data that are transmitted to BTS 1 using frequency f1 and frequency f2 in accordance with the TPC bits from BTS 1.

BTS 1, upon receiving one frame of data that is transmitted from MS 3 using each of frequencies f1 and f2 ("Yes" in Step S16 of FIG. 12), proceeds to the procedure for changing the values of SIR (hho\_bts). However, when synchronization has not been established for data that are transmitted from MS 3 using frequency f2, the value of SIR (hho\_bts) is not changed ("No" in Step S



17 of FIG. 12).

If synchronization has been established for data that are transmitted from MS 3 using frequency f2 ("Yes" in Step S17 of FIG. 12), BTS 1 combines (for example, by maximal ratio combining) data that are identical that have been  
5 received using frequency f1 and frequency f2 in search/decoding unit 12 as shown in FIG. 8 and calculates reception SIR (= SIR (dv\_bts)) based on the one-frame portion of data that have been combined (Step S18 of FIG. 12).

BTS 1 next calculates Gain (bts), which is the difference between SIR (dv\_bts) and SIR (bts) (Step S19 of FIG. 12). BTS 1 takes Gain (bts) as the  
10 diversity gain that is obtained by receiving data that are mutually identical using frequency f1 and frequency f2 and updates the value of SIR (hho\_bts) (Step S20 of FIG. 12). BTS 1 subsequently repeats the operations of Steps S15–S20 until the inter-frequency HHO is completed (Step S21 of FIG. 12).

In this way, at the time of inter-frequency HHO, MS 3 transmits identical  
15 data by frequency f1 and frequency f2 to BTS 1 using the gaps to switch between frequency f1 and frequency f2, and BTS 1 receives the mutually identical data that have been transmitted from MS 3 using frequency f1 and frequency f2. Diversity gain can thus be obtained in BTS 1, and as result, interference can be reduced.

20 **[2-2] When the HHO origin BTS and the HHO destination BTS are different (different-frequency HHO between BTS)**

In a case in which the HHO origin BTS is BTS 1 and the HHO destination BTS is BTS 2 ("No" in Step S13 of FIG. 12), each variable is first initialized by BTS 1, BTS 2, and RNC 4 (Step S22 of FIG. 13 and Step S25 of  
25 FIG. 14). BTS 1 and BTS 2 next transmit and receive data in accordance with the new compressed mode pattern that has been reported from RNC 4, and uplink TPC between MS 3 and BTS 1 and uplink TPC between MS 3 and BTS

2 are each carried out for each time slot using SIR (hho\_bts 1) and SIR (hho\_bts 2) as the target SIR (Step S23 of FIG. 13).

In other words, BTS 1 transmits to MS 3 TPC bits based on SIR (hho\_bts 1) and reception SIR of data from MS 3, and in addition, BTS 2  
5 transmits to MS 3 TPC bits based on SIR (hho\_bts 2) and reception SIR of data from MS 3. MS 3 controls the transmission power of data that are transmitted to BTS 1 and BTS 2 in accordance with the TPC bits from BTS 1 and BTS 2.

Each of BTS 1 and BTS 2 transmits data received from MS 3 to RNC 4 together with reception sensitivity information that corresponds to these data  
10 (Step S24 of FIG. 13). As shown in FIG. 9, RNC 4 selects by means of selective combining unit 31 the data having the better reception sensitivity of the data of one selection unit interval from BTS 1 and the data of one selection unit interval from BTS 2 based on the reception sensitivity information that has been reported from BTS 1 and BTS 2 (Step S26 of FIG. 14). The process next  
15 transits to the procedure for altering the values of  $\Delta$  (bts 1) and  $\Delta$  (bts 2). However, if synchronization has not been established in BTS 2 for data from MS 3 ("No" in Step S27 of FIG. 14), each of the values of  $\Delta$  (bts 1) and  $\Delta$  (bts 2) remain unchanged as the initial values (= 0).

If synchronization is established for data from MS 3 in BTS 2 ("Yes" in Step S27 of FIG. 14), RNC 4 calculates the number of times N1 data from BTS  
20 1 and the number of times N2 data from BTS 2 selected in the past N selection unit intervals (Steps S28–S31 of FIG. 14).

In other words, if data that have been selected by selective combining in Step S26 are data from BTS 1 ("Yes" in Step S28 of FIG. 14), RNC 4 sets the  
25 value of n1 [N–1] to "1" and the value of n2 [N–1] to "0" (Step S29 of FIG. 14). On the other hand, if the data that have been selected by selective combining in Step S26 are data from BTS 2 ("No" in Step S28 of FIG. 14), the value of n1

[N-1] is set to "0" and the value of n2 [N-1] is set to "1" (Step S30 of FIG. 14). RNC 4 then calculates N1 and N2 based on n1 [i] and n2 [i] (Step S31 of FIG. 14).

5 RNC 4 next calculates  $\Delta$  (bts 1) and  $\Delta$  (bts 2) such that the value of the target SIR of the BTS that supplied data that have been selected more times within the past N selection unit intervals increases and such that the value of the target SIR of the BTS that supplied data that have been selected fewer times decreases (Step S32 of FIG. 14). The function  $f[x]$  that is used in this calculation is a monotone increasing function and has characteristics such as  
10 those shown in FIG. 10.

RNC 4 updates n1 [i] and n2 [i] (Step S33 of FIG. 14), following which RNC 4 investigates whether the current interval is a reflective interval or a non-reflective interval (Step S34 of FIG. 15). In other words, the current interval is a non-reflective interval if the value of cnt1 is M1 or greater, and the current  
15 interval is a reflective interval if the value of cnt1 is less than M1.

If the interval is a reflective interval ("Yes" in Step S34 of FIG. 15), RNC 4 increases the value of cnt1 by "1" (Step S35 of FIG. 15) and then proceeds to Step S40. On the other hand, if the interval is a non-reflective interval ("No" in Step S34 of FIG. 15), RNC 4 sets the values of each of  $\Delta$  (bts 1) and  $\Delta$  (bts 2)  
20 to "0" (Step S36 of FIG. 15).

RNC 4 then investigates whether the next selection unit interval is a reflective interval or a non-reflective interval (Step S37 of FIG. 15). Specifically, the next selection unit interval is a reflective interval if the value of cnt2 is M2 or greater, and the next selection unit interval is a non-reflective interval if the  
25 value of cnt2 is less than M2.

If the next selection unit interval is also a non-reflective interval ("Yes" in Step S37 of FIG. 15), RNC 4 increases the value of cnt2 by "1" (Step S38 of

FIG. 15) and then proceeds to Step S40. On the other hand, if the next selection unit interval is a reflective interval ("No" in Step S37 of FIG. 15), RNC 4 sets each of the values of cnt1 and cnt2 to "0" (Step S39 of FIG. 15) and then proceeds to Step S40.

5           RNC 4 reports  $\Delta$  (bts 1) and  $\Delta$  (bts 2) to BTS 1 and BTS 2, respectively (Step S40 of FIG. 14). BTS 1 uses  $\Delta$  (bts 1) from RNC 4 to update SIR (hho\_bts 1), and BTS 2 uses  $\Delta$  (bts 2) from RNC 4 to update SIR (hho\_bts 2) (Step S41 of FIG. 13). The operations of Steps S23–S41 are then repeated on the mobile communication network side until the inter-frequency HHO has been  
10       completed (Step S42 of FIG. 13 and Step S43 of FIG. 14).

          FIG. 16 shows the example of behavior of the target SIR (SIR (hho\_bts 1) and SIR ((hho\_bts 2)) of BTS 1 and BTS 2 that accords with the above-described Steps S23–S41. As shown in FIG. 16, the values of  $\Delta$  (bts 1) and  $\Delta$  (bts 2) are both "0" in non-reflective intervals, and target SIR of BTS 1 and BTS  
15       2 are therefore both SIR (bts). On the other hand, the target SIR each change in accordance with the change in the values of N1 and N2 in reflective intervals.

          The variable control of the target SIR of BTS 1 and BTS 2 that is implemented in reflective intervals is realized by first assuming that the reception characteristics of the BTS that supplies data that are more frequently  
20       selected are superior to the reception characteristics of the BTS that supplies data that are less frequently selected, and then raising the target SIR of the BTS having better reception characteristics and lowering the target SIR of the BTS having poorer reception characteristics. Accordingly, the difference between the target SIR tends to increase with the passage of time to a greater  
25       degree than the original difference in reception characteristics when the difference in reception characteristics between BTS 1 and BTS 2 is a maximum (refer to FIG. 16). However, the periodic provision of a non-reflective interval

suppresses increase in the difference between the target SIR that would surpass the difference in the original reception characteristics.

Finally, the processing operations of each of BTS 1, BTS 2, MS 3, and RNC 4 that follow the flow charts that are shown in FIGs. 11-15 can obviously be realized by causing a computer that serves as the CPU (control unit) to read and execute programs that have been stored in advance in a memory medium such as ROM.